

# Rare decays at LHCb: an overview of recent results



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on behalf of the LHCb Collaboration



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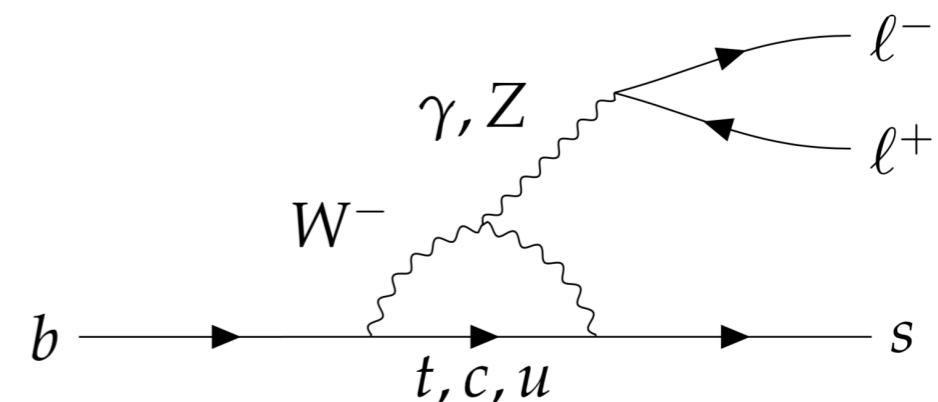


Implications of LHCb measurements and future prospects

16 October 2019

# Why rare decays?

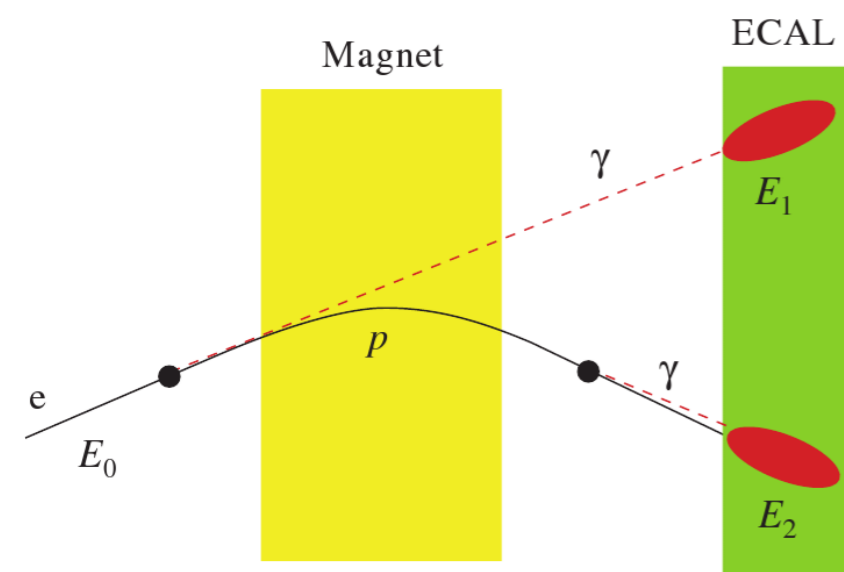
- **Rare decays:** electroweak transitions with leptons or photons in final state
- In the SM: Flavor Changing Neutral Currents, **only at the loop level**
- Sensitive to potential New Physics effects:
  - can affect branching fractions, angular distributions, lepton universality...
- At LHCb, we study rare decays of beauty, charm and strange hadrons



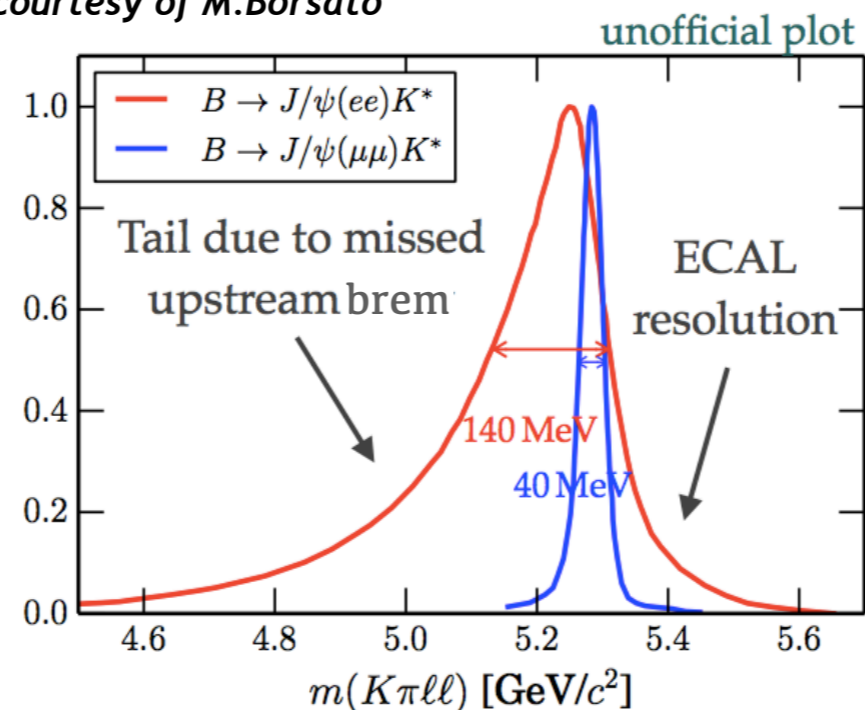
# Experimental challenges: resolution

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- Decay modes with photons: resolution driven by the ECAL resolution ( $\sim 90$  MeV for B hadrons)
- Decay modes with electrons and muons: rely on the tracking, but...
  - Electrons **emit bremsstrahlung photons** in interactions with material
  - We **match electron tracks to photon clusters** in the ECAL
  - Correct electron momenta by energies of photons
- Resolution for decay modes with electrons is **worse** than for the muonic modes
  - Long bremsstrahlung tails



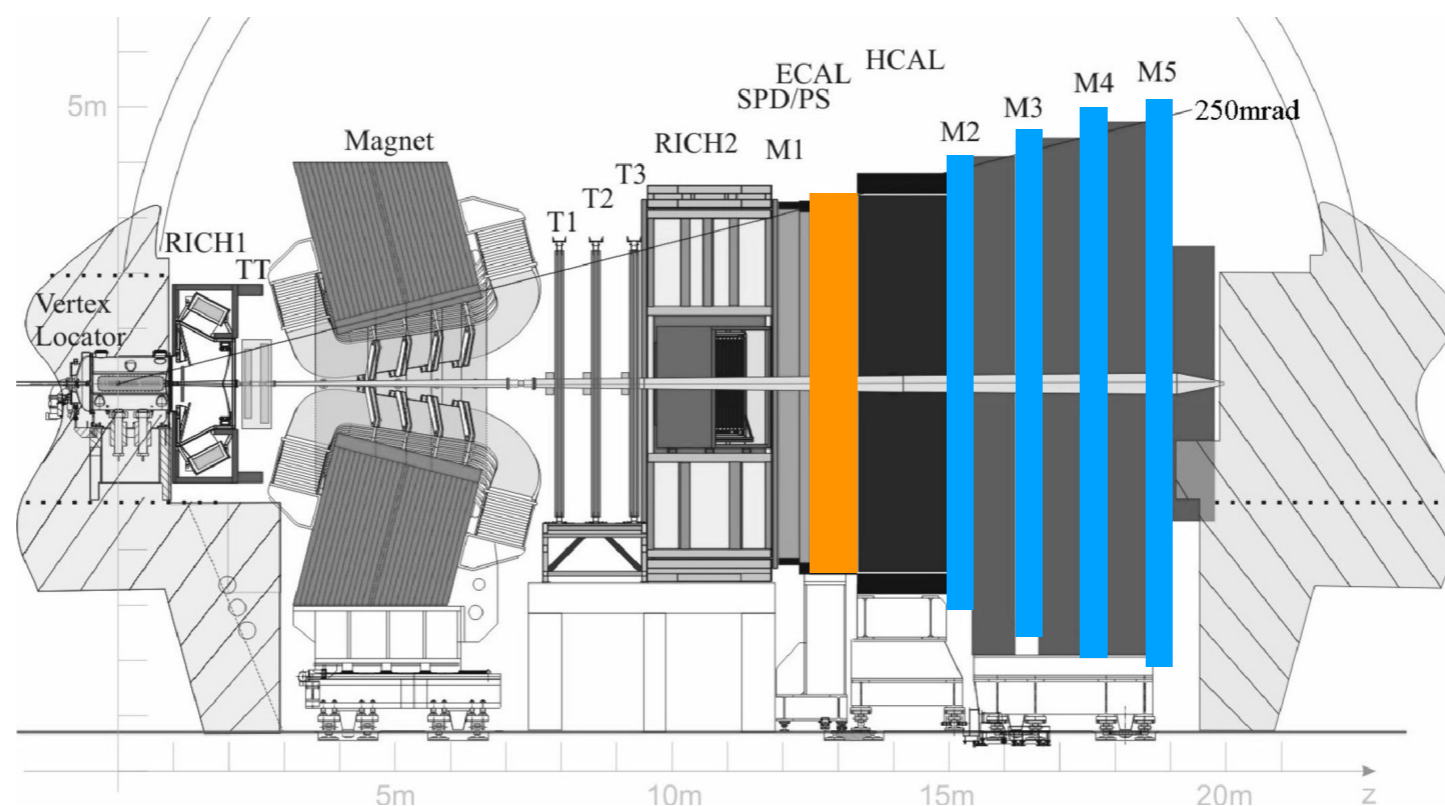
courtesy of M.Borsato



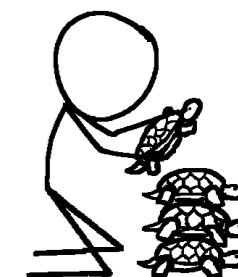
# Experimental challenges: trigger

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- We trigger on electrons and photons by the **calorimeter**
- And on muons using the **muon stations**



- But: ECAL is very busy (plenty of photons, also from  $\pi^0$ )
  - High thresholds  $\rightarrow$  lower statistics
- Trick: can trigger on the hadron part, or on the rest of the event (the other B)



# Radiative decays

$$b \rightarrow s\gamma$$

- Results in B-meson sector available from B-factories and LHCb,  $B_s$  mainly from LHCb
  - See the talk of Katya regarding the latest results in  $B_s^0 \rightarrow \phi\gamma$  where we test CP observables

$$C_{\phi\gamma} = 0.11 \pm 0.29 \pm 0.11 \quad \text{sensitive to CPV in decay}$$

$$S_{\phi\gamma} = 0.43 \pm 0.30 \pm 0.11$$

$$A_{\phi\gamma}^{\Delta} = -0.67_{-0.41}^{+0.37} \pm 0.17$$

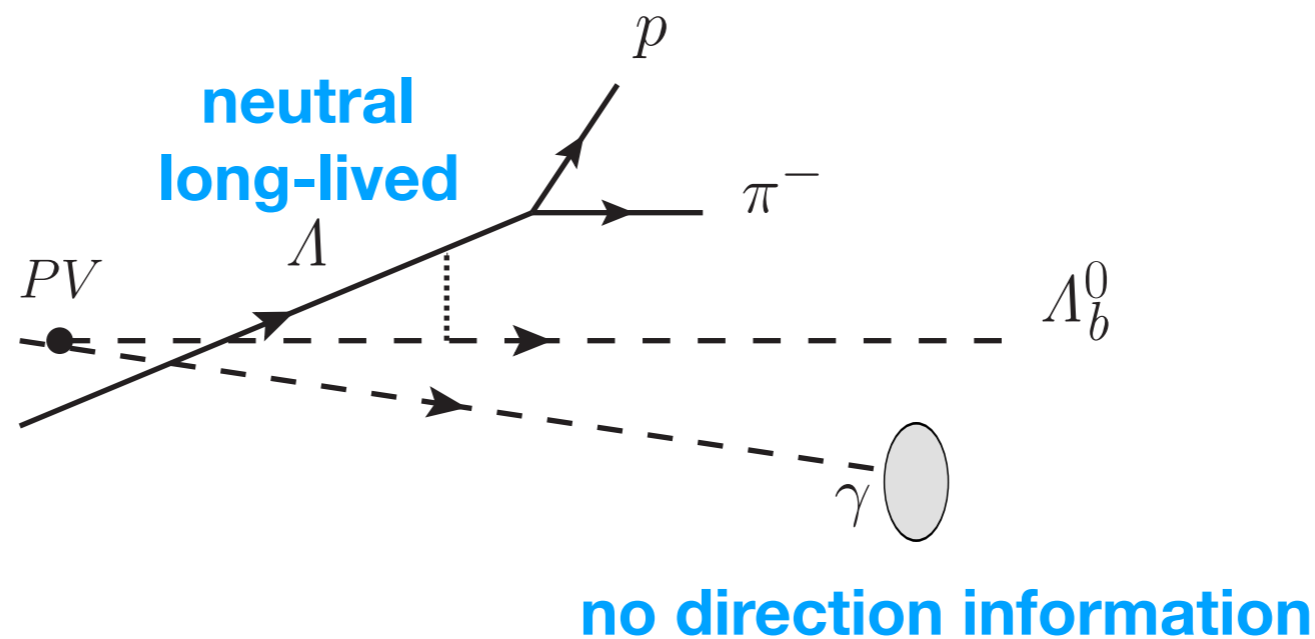
sensitive to photon helicity amplitudes

→ set constraints on right-handed currents in  $b \rightarrow s\gamma$

**SM: all three close to zero [PLB664(2008) 174]**

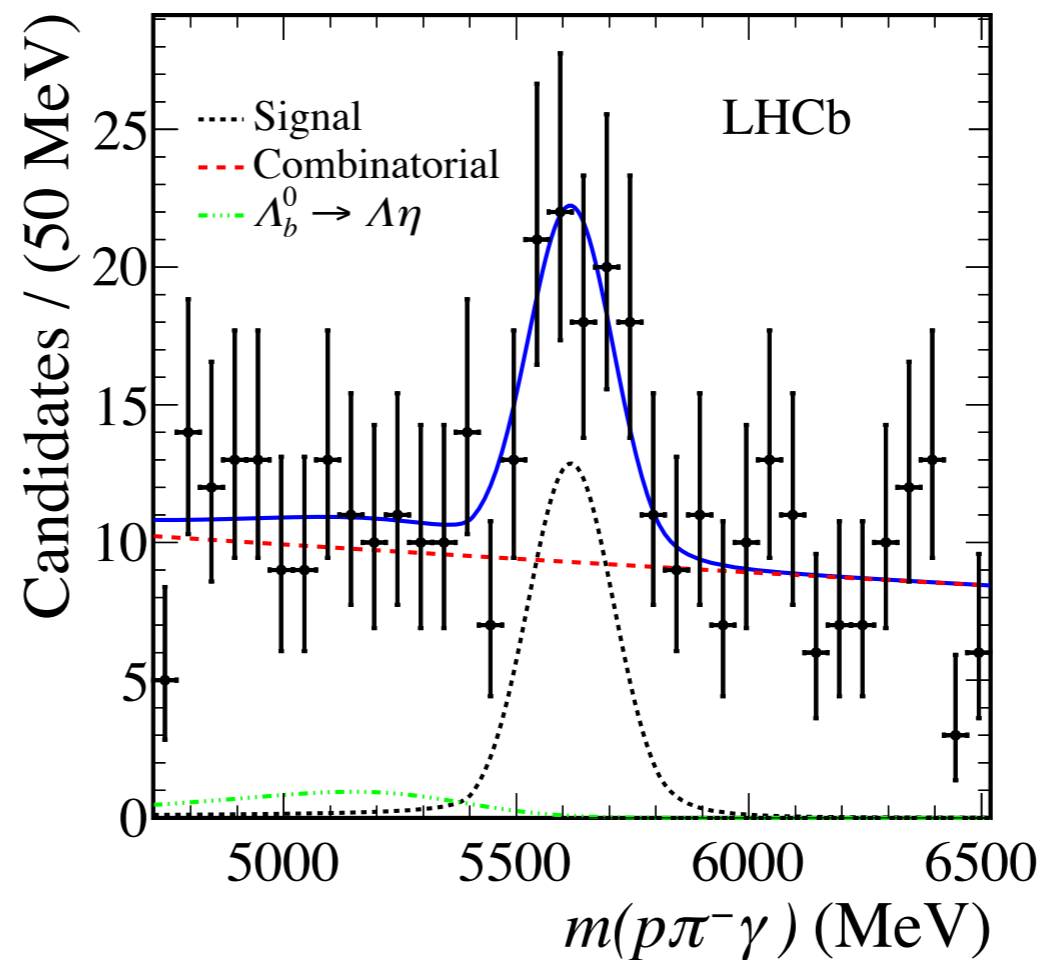
- Baryon sector provides a unique opportunity: **non-zero spin** of initial and final state particles
  - Direct access to the **photon polarisation**: predominantly left-handed in the SM
  - Weak decay of the  $\Lambda$  baryon allows to access the helicity structure of the  $b \rightarrow s\gamma$  transition [PRD 65(2002) 074038]

- Very challenging at LHCb: **no  $\Lambda_b^0$  decay vertex!**
  - Harsh background conditions



- **Dedicated software trigger** put in place in Run2
  - 2016 data was used for this pilot analysis:  $1.7\text{fb}^{-1}$  at 13 TeV
- High-performance MVA classifier trained to reject the combinatorial background (99.8% rejection, 33% signal eff.)

- First observation:  $5.6\sigma$



- BR measured relatively to  $B^0 \rightarrow K^{*0}\gamma$

$$BR(\Lambda_b^0 \rightarrow \Lambda\gamma) = (7.1 \pm 1.5(stat) \pm 0.6(syst) \pm 0.7(ext)) \times 10^{-6}$$

- In agreement with SM (predictions have broad range)
- Largest systematics:  $\Lambda_b^0$  production fraction

- Full Run2 dataset is currently analysed to measure the photon polarisation
- Other radiative baryon decays are being explored as well



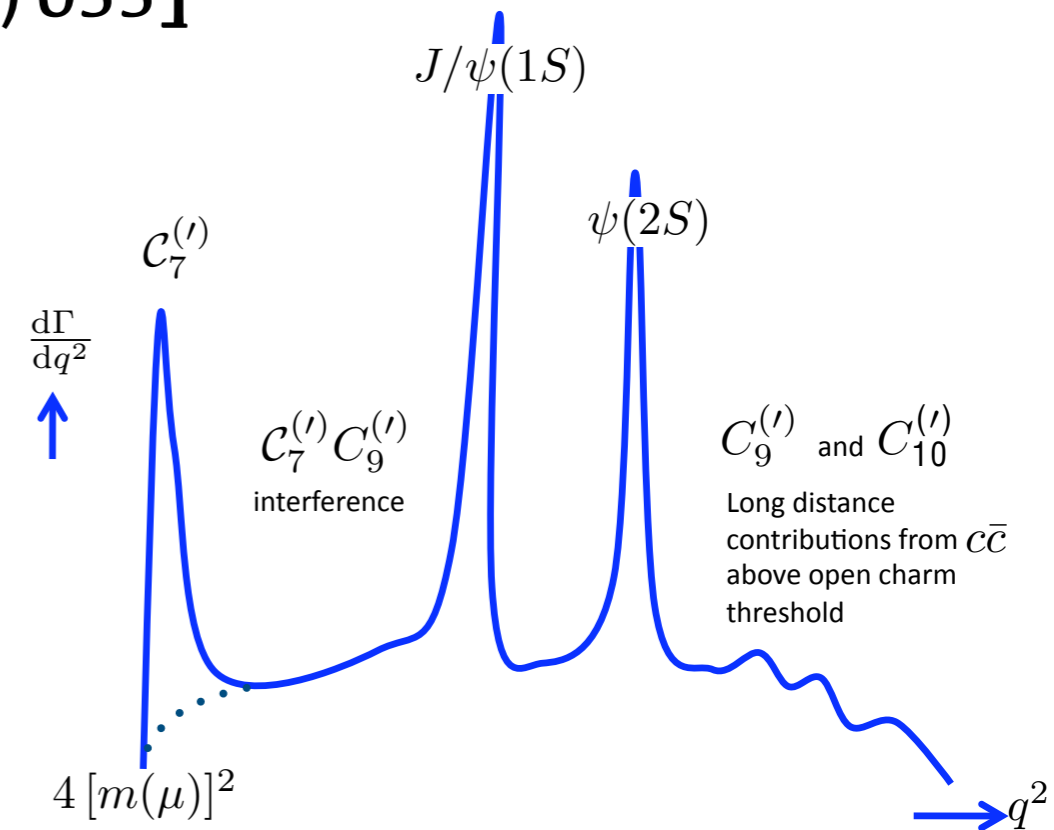
# Electroweak penguin decays

$$b \rightarrow s \ell^+ \ell^-$$

- Lepton Flavor Universality: couplings of EW bosons are same for all charged leptons
  - Tested to sub-percent precision in  $Z \rightarrow \ell^+\ell^-$ ,  $J/\psi \rightarrow \ell^+\ell^-$  decays, etc
- Hints of **deviations from the SM seen in  $b \rightarrow s\ell^+\ell^-$  transitions** by LHCb [PRL 113, 151601 (2014); JHEP 08 (2017) 055]

- Definition:

$$R_K[q_{min}^2, q_{max}^2] = \frac{\int_{q_{min}^2}^{q_{max}^2} dq^2 \frac{d\Gamma(B \rightarrow K\mu^+\mu^-)}{dq^2}}{\int_{q_{min}^2}^{q_{max}^2} dq^2 \frac{d\Gamma(B \rightarrow Ke^+e^-)}{dq^2}}$$



- At LHCb, we measure the double ratio

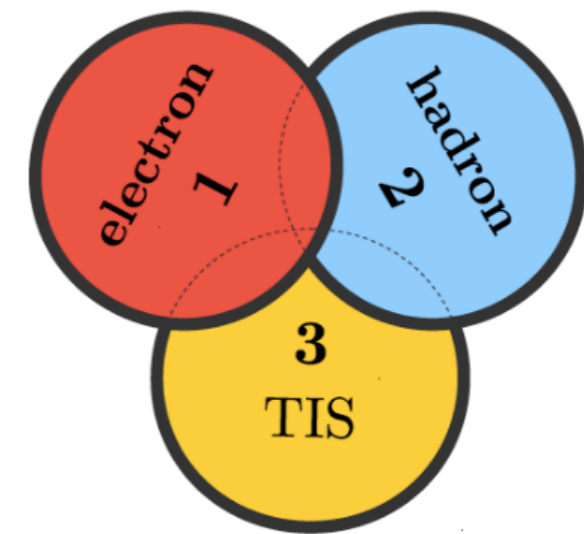
$$R_K = \frac{N(B^+ \rightarrow K^+\mu^+\mu^-)}{\epsilon(B^+ \rightarrow K^+\mu^+\mu^-)} \times \frac{\epsilon(B^+ \rightarrow K^+e^+e^-)}{N(B^+ \rightarrow K^+e^+e^-)} \times \frac{\epsilon(B^+ \rightarrow K^+J/\psi(\mu^+\mu^-))}{N(B^+ \rightarrow K^+J/\psi(\mu^+\mu^-))} \times \frac{N(B^+ \rightarrow K^+J/\psi(e^+e^-))}{\epsilon(B^+ \rightarrow K^+J/\psi(e^+e^-))}$$

- This analysis: LHCb data up to 2016 (5fb<sup>-1</sup> at 7-8-13 TeV)
- Only central- $q^2$  bin considered:  $1.1 < q^2 < 6 \text{ GeV}^2/c^4$ .

- Different treatment of the final states:

- $B^+ \rightarrow K^+ \mu^+ \mu^-$  : trigger on muons

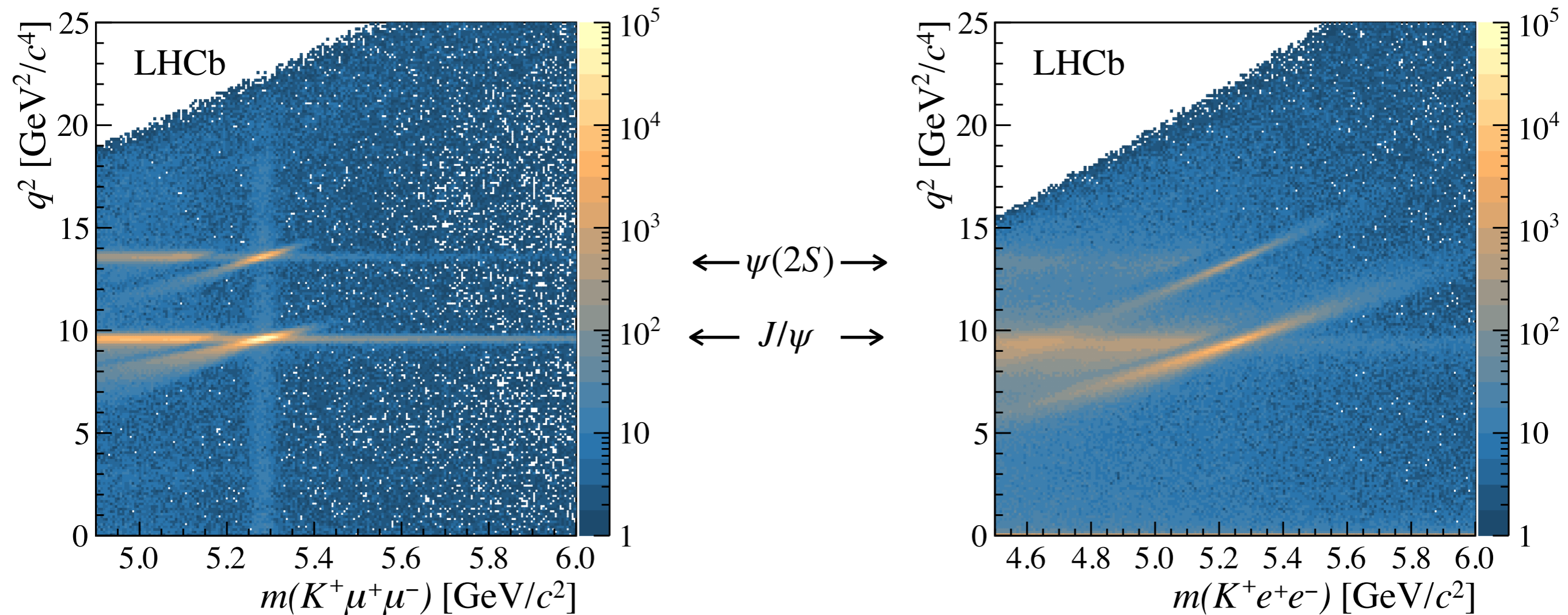
- $B^+ \rightarrow K^+ e^+ e^-$  : three exclusive categories:



- Backgrounds:

- Combinatorial: suppressed by the MVA classifier
- Misidentifications: suppressed by PID requirements
- Semileptonic: mass veto
- The most important remaining:  $B^0 \rightarrow K^{*+}(K^+ \pi^0) e^+ e^-$  part.-reco.

Backgrounds are more important for the dielectron mode: due to the worse resolution

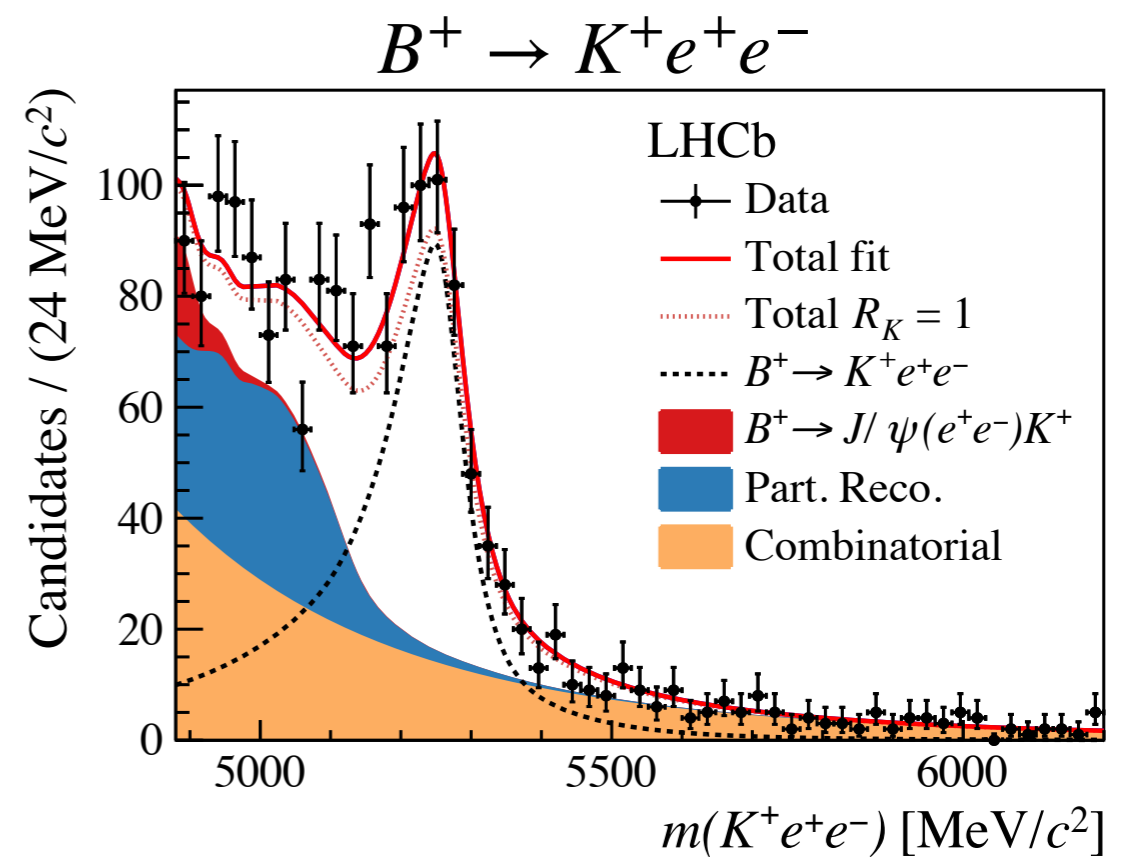
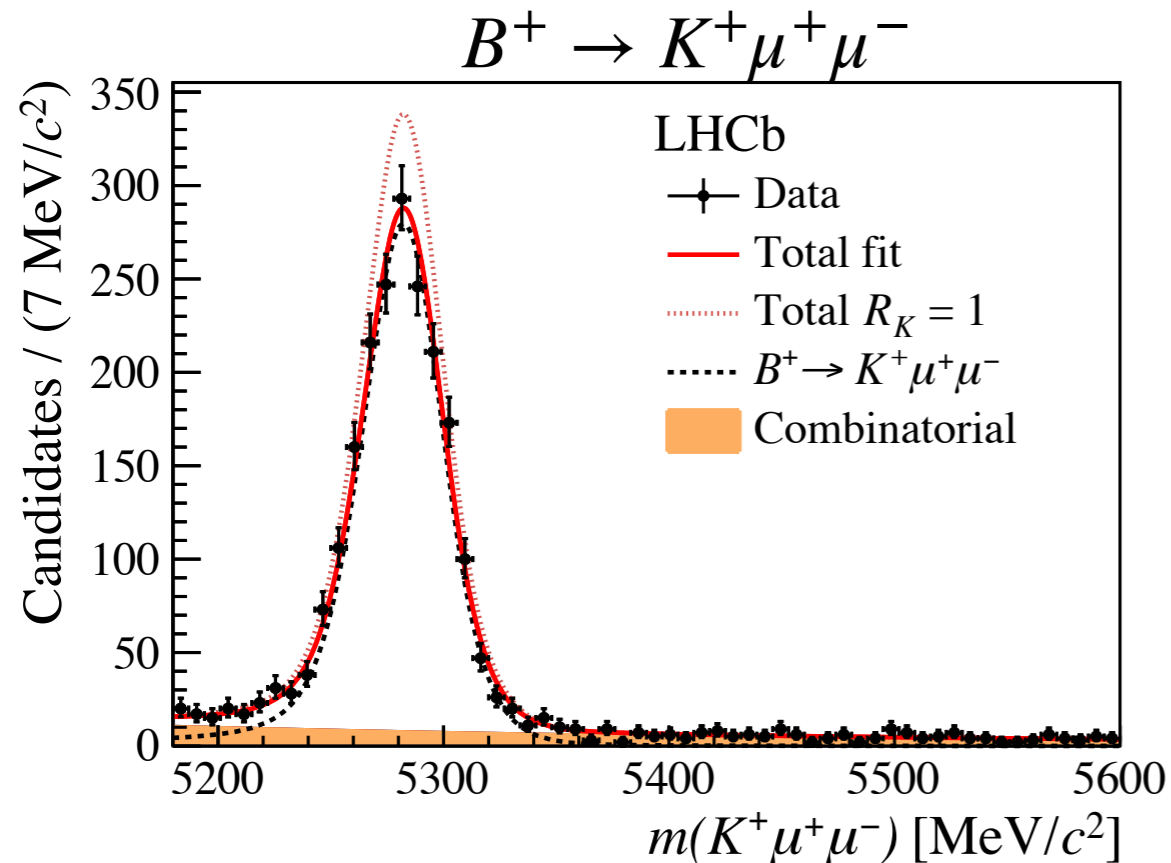


- The  $J/\psi$  region is used to validate the simulation:

$$r_{J/\psi} = \frac{N(B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-))}{\epsilon(B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-))} \times \frac{\epsilon(B^+ \rightarrow K^+ J/\psi(e^+ e^-))}{N(B^+ \rightarrow K^+ J/\psi(e^+ e^-))} = 1.014 \pm 0.035$$

- Consistent with unity
- Does not depend on kinematics / geometry

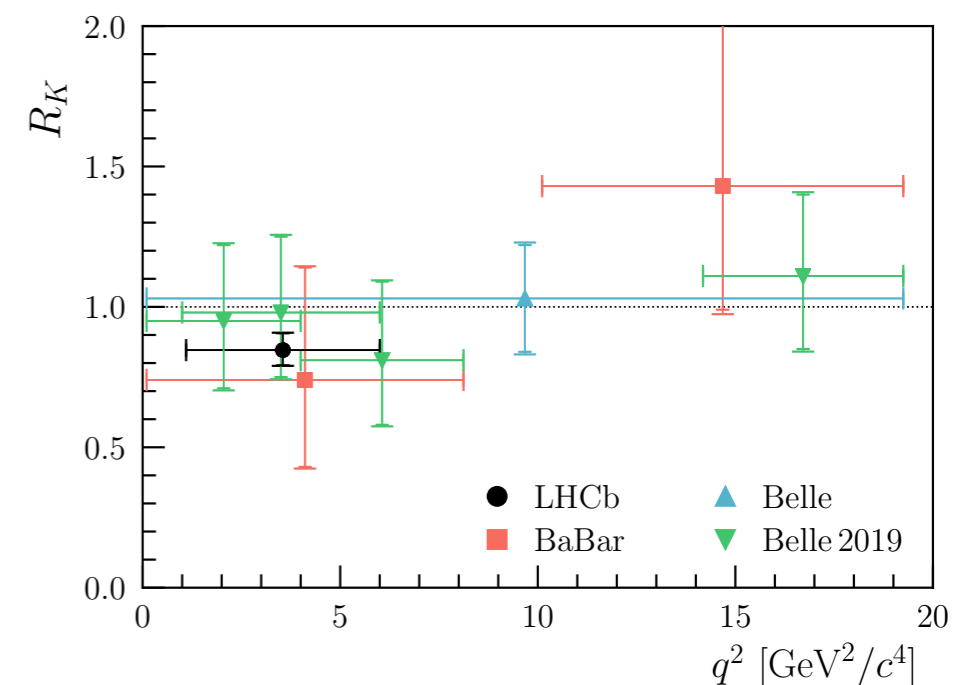
- Mass fits for nonresonant channels:



- Differential branching fraction of  $B^+ \rightarrow K^+ \mu^+ \mu^-$  consistent with previous LHCb Run1 result

- Result:**  $R_K = 0.846^{+0.060+0.016}_{-0.054-0.014}$ ,
  - SM:  $R_K = 1$ , QED uncertainties  $\sim 1\%$
  - consistent with the SM at  $2.5\sigma$

- Dominant systematics: trigger calibration, fit model



# What's coming next?

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- We have tested LFU only with B mesons

- **What about baryons?**

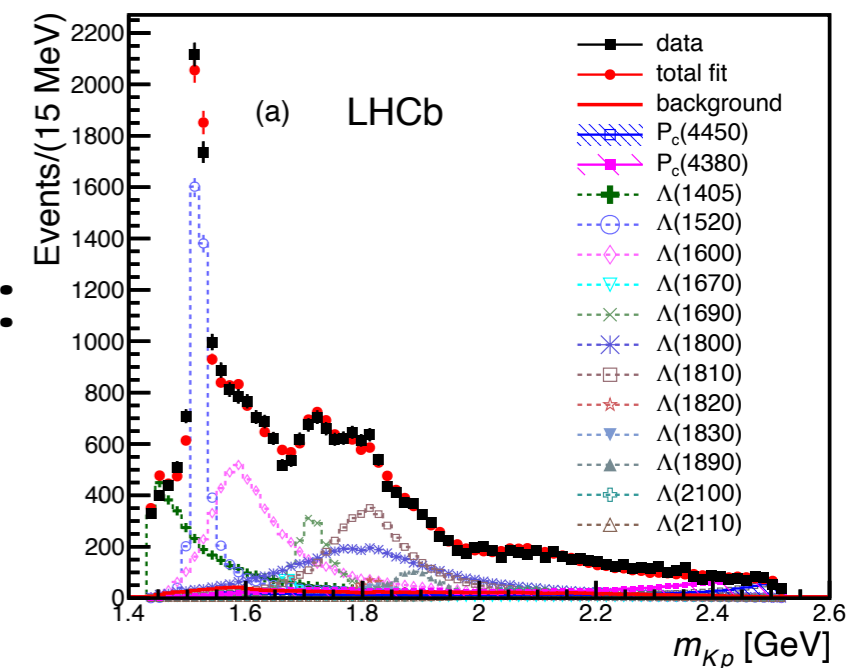
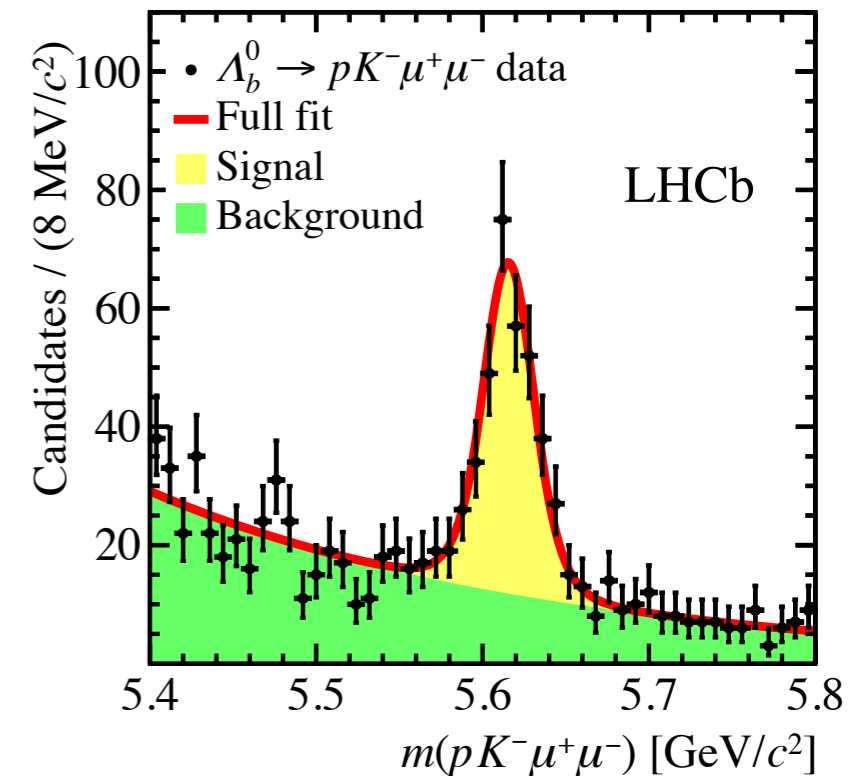
- Measure the ratio  $R_{pK} = \frac{BR(\Lambda_b \rightarrow pK\mu^+\mu^-)}{BR(\Lambda_b \rightarrow pKe^+e^-)}$  with Run1+2016 data

- Muon mode seen by LHCb: [[JHEP 06 \(2017\) 108](#)]
- Electron mode not yet observed

- Main complication: (pK) system has a tricky resonant structure

- E.g., in the  $J/\psi$  window [[PRL 115 \(2015\) 072001](#)]:

- Results on  $R_{pK}$  expected soon.



# Very rare decays

Many interpretations of ‘flavor anomalies’: covered in the theory talks, e.g.:

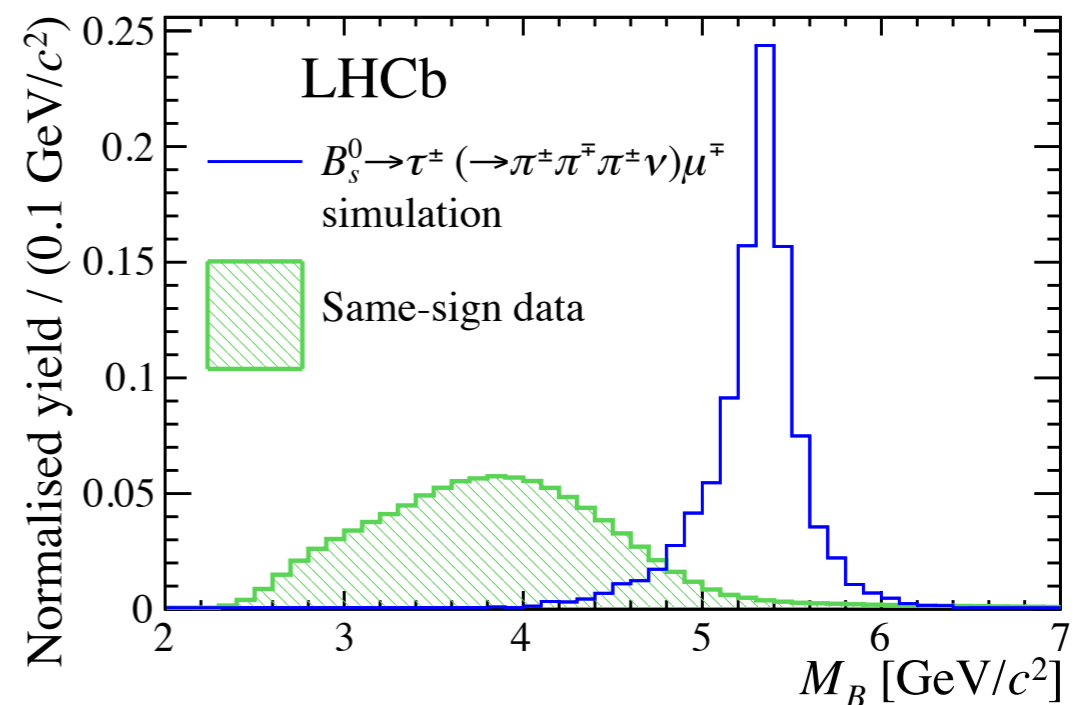
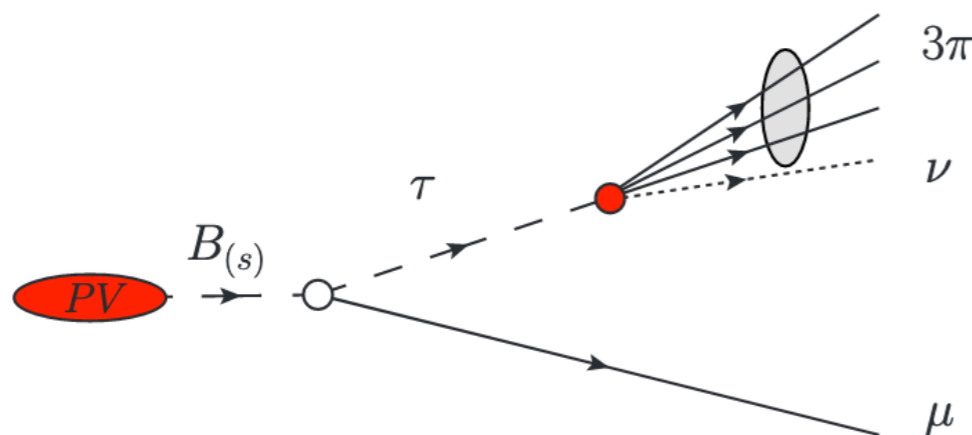
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**New Physics interpretations (20+5)**

Speaker: Dr Olcyr Sumensari (INFN Padova)

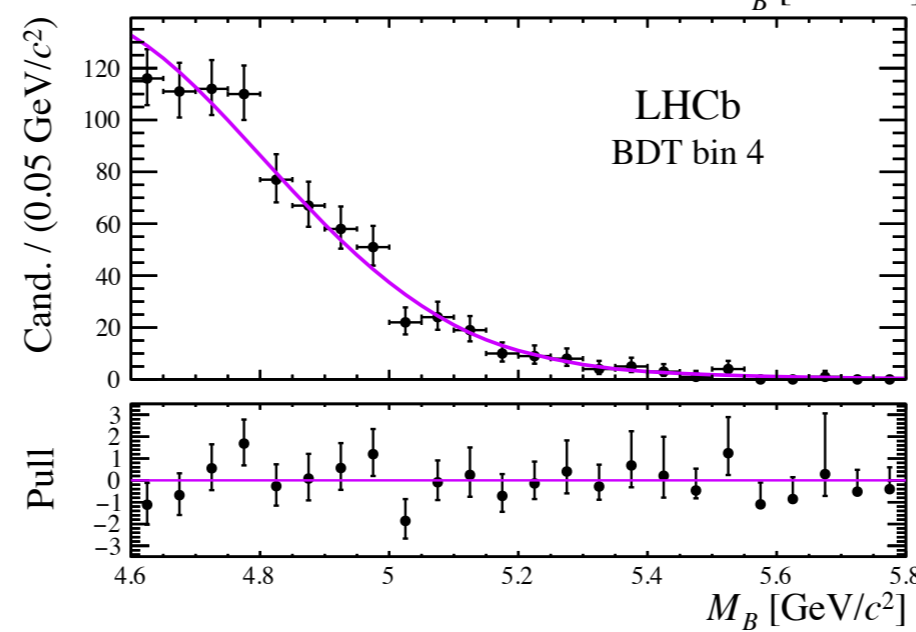
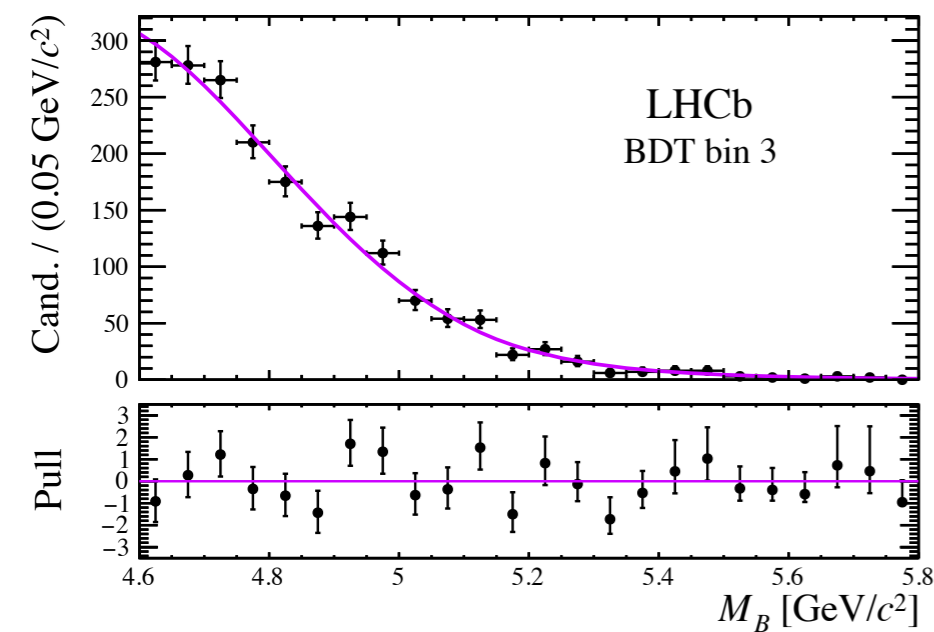
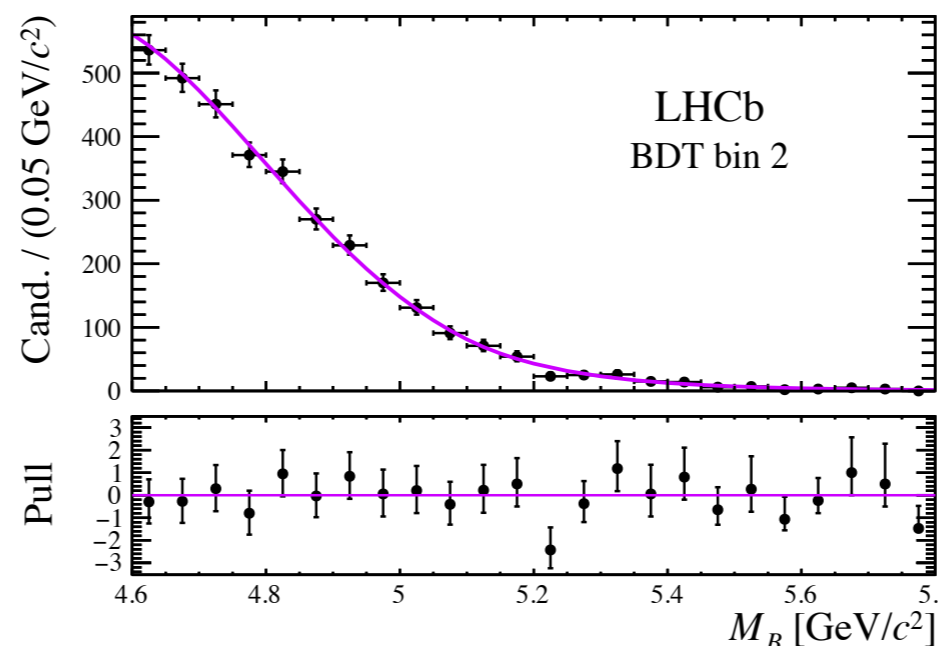
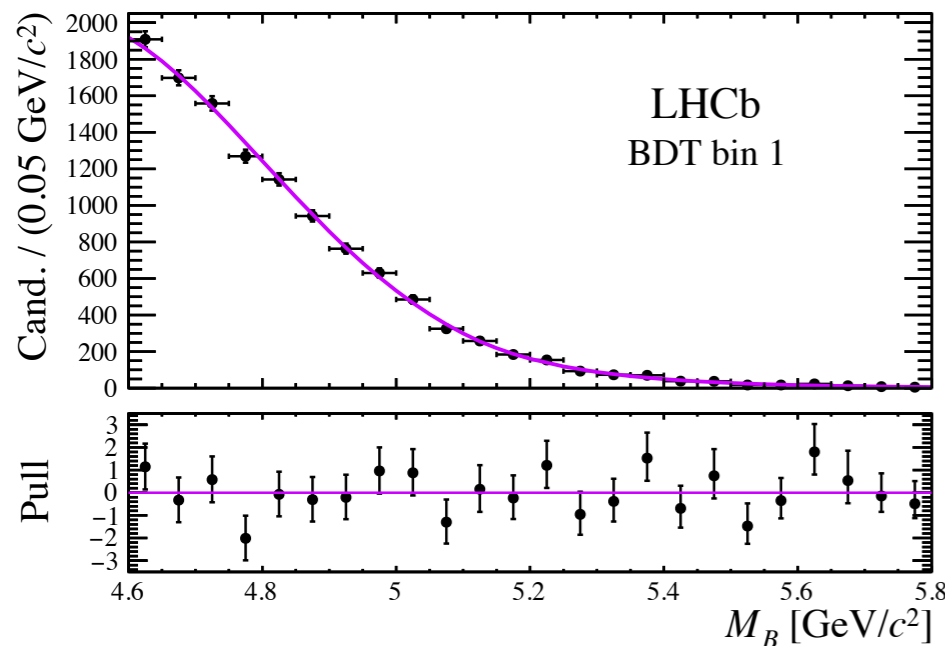
Most of the models predict **measurable rate** for certain decays which are extremely suppressed in the SM

- LF-violating, highly suppressed in the SM ( $BR \sim 10^{-54}$  [Riv. Nuovo Cimento, Vol. 41 (2018) 71])
- Enhanced in many NP models (e.g. [JHEP11(2016) 035]) up to  $10^{-5}$
- Previous limit by BaBar:  $BR(B^0 \rightarrow \tau^\pm \mu^\mp) < 2.2 \times 10^{-5}$  at 90% CL [PRD 77(2008)091104]
  - No limits for the  $B_s^0$  mode
- LHCb analysis with Run1 data ( $3\text{fb}^{-1}$  at 7-8 TeV)
- Hadronic decay of the  $\tau$  lepton:  $\tau^- \rightarrow \pi^- \pi^+ \pi^- \nu_\tau$ 
  - Constrain the momentum of the neutrino from reconstructed tracks and vertices





- Isolation criteria and mass vetoes against important backgrounds
- As the normalisation,  $B^0 \rightarrow D^-(K\pi\pi)\pi^+$  was used
- MVA classifier; four bins of the classifier output analysed simultaneously
- Fit under hypotheses of  $B_s$  or  $B^0$  signal only: **no signal seen**



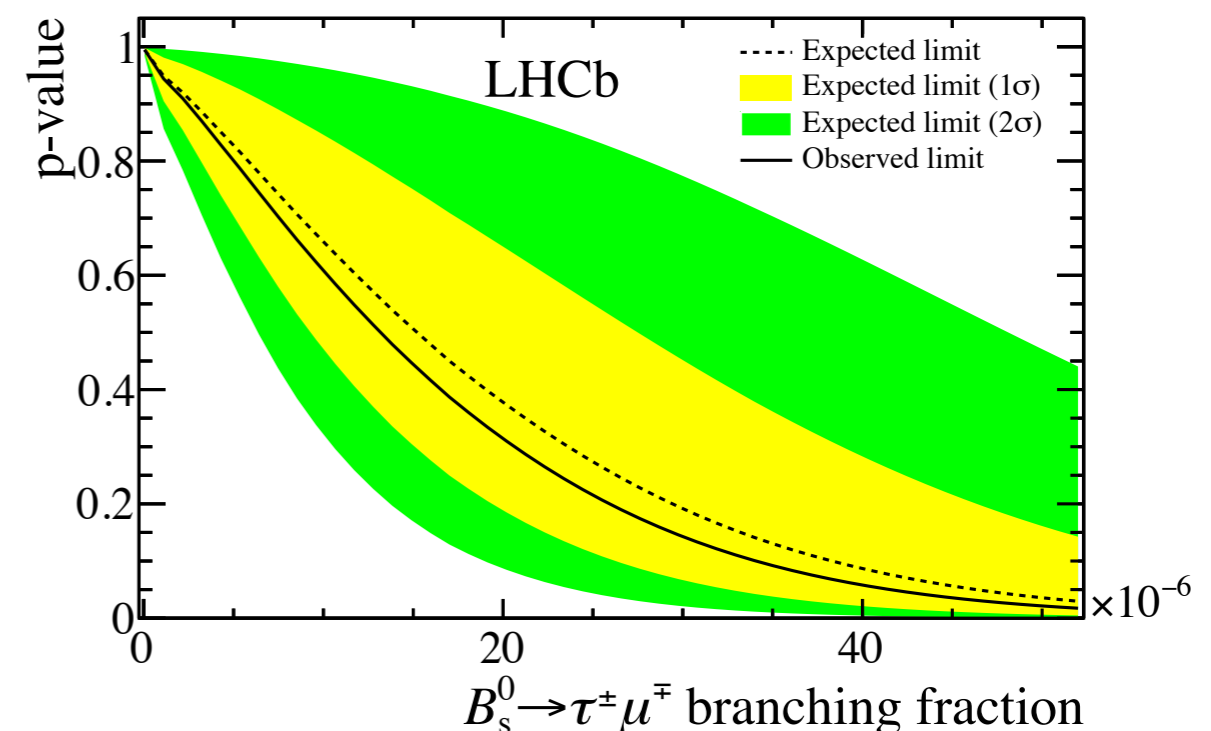
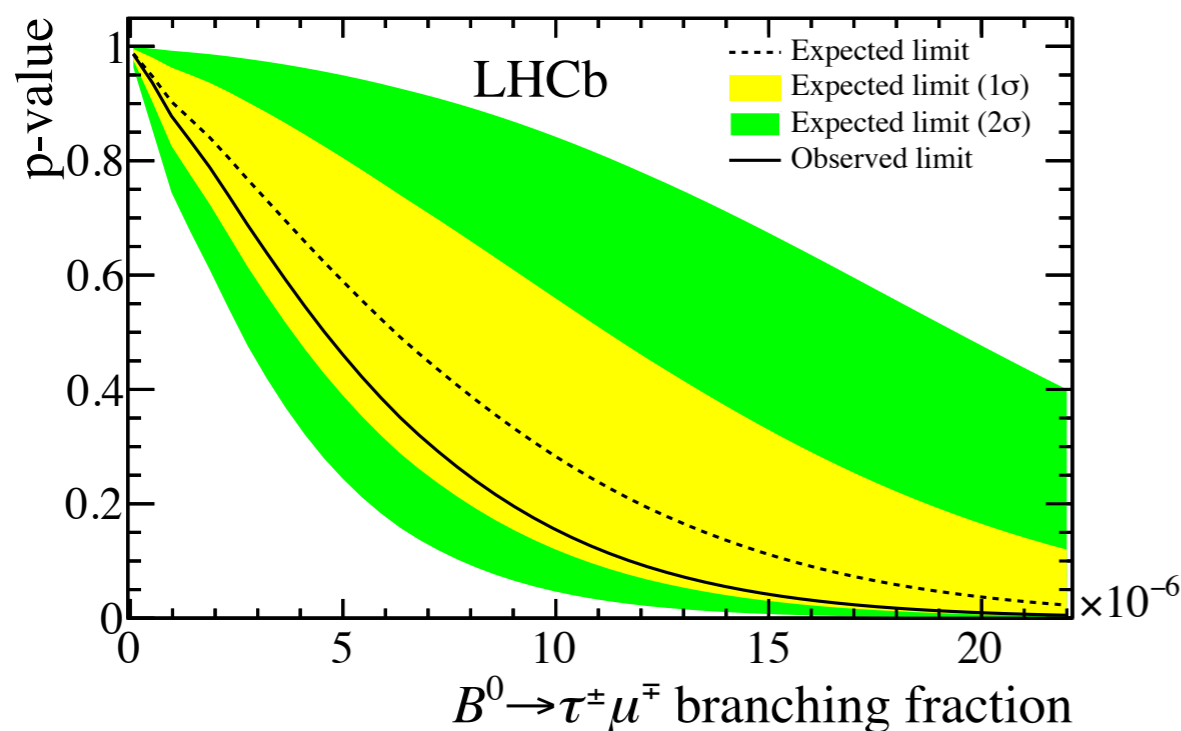
$$N_{B_s^0 \rightarrow \tau^\pm \mu^\mp} = -16 \pm 38$$

$$N_{B^0 \rightarrow \tau^\pm \mu^\mp} = -65 \pm 58$$

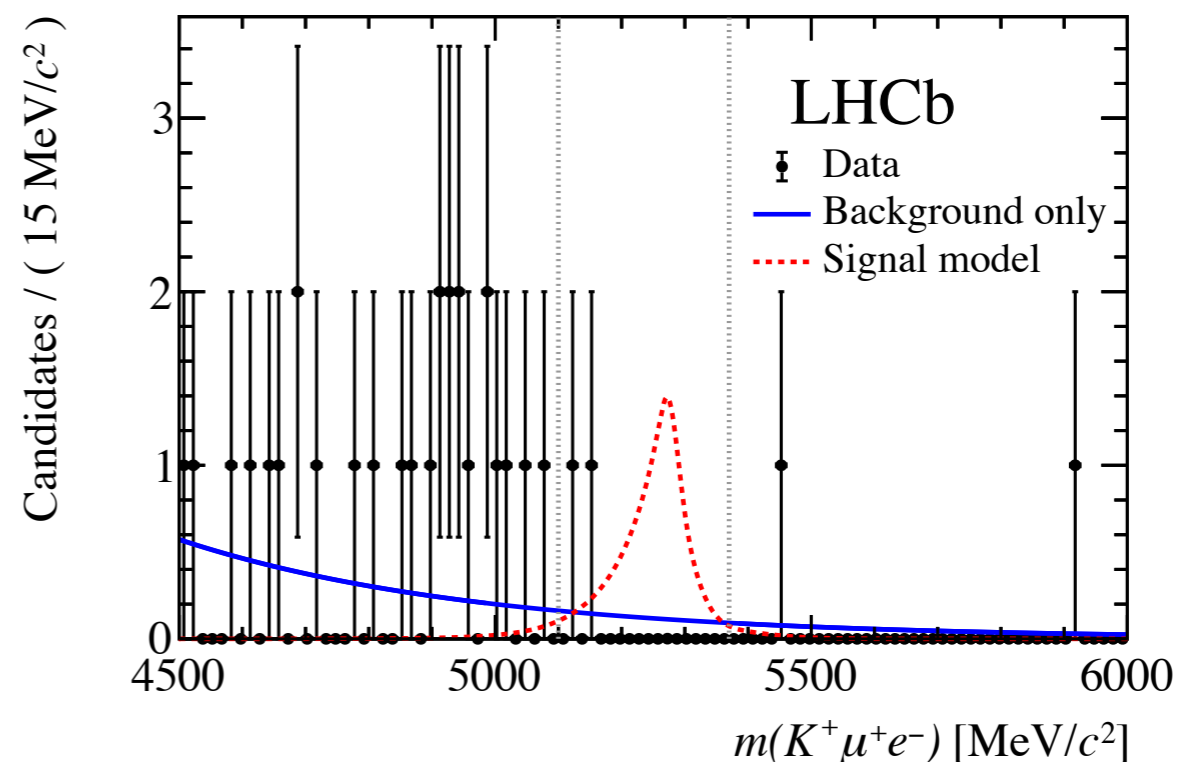
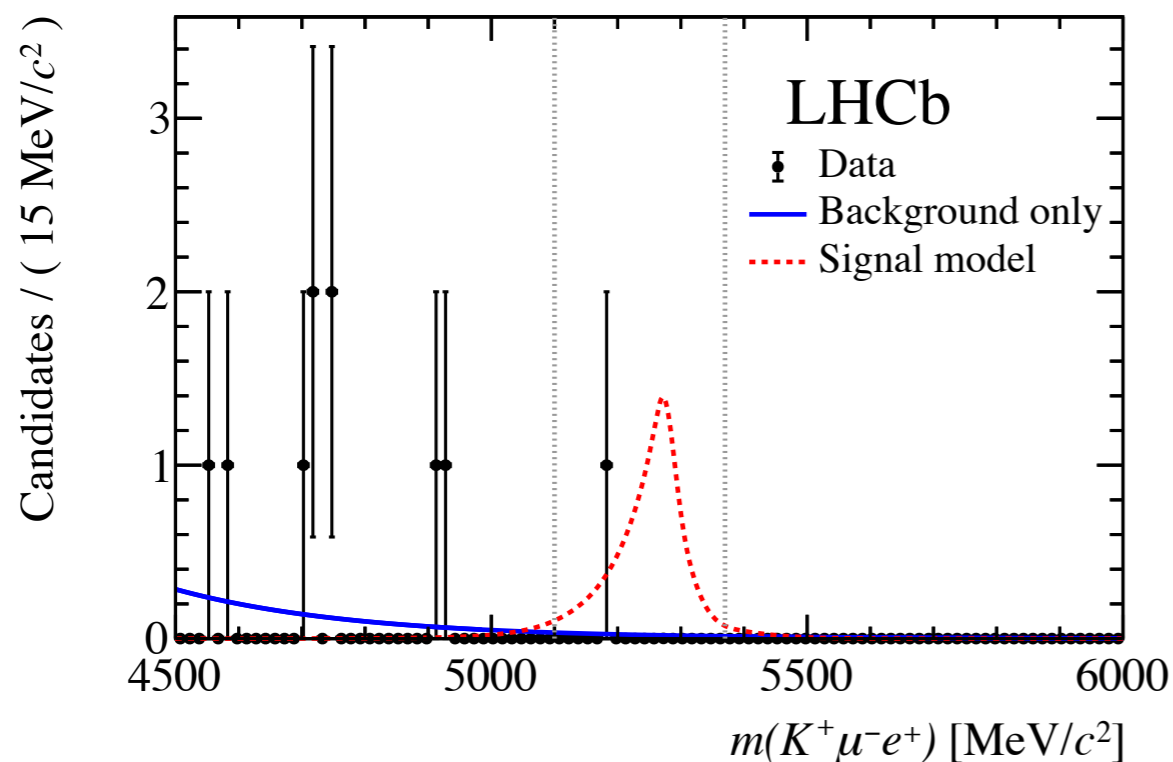
• Limits set:

Mode	Limit	90% CL	95% CL
$B_s^0 \rightarrow \tau^\pm \mu^\mp$	Observed	$3.4 \times 10^{-5}$	$4.2 \times 10^{-5}$
	Expected	$3.9 \times 10^{-5}$	$4.7 \times 10^{-5}$
$B^0 \rightarrow \tau^\pm \mu^\mp$	Observed	$1.2 \times 10^{-5}$	$1.4 \times 10^{-5}$
	Expected	$1.6 \times 10^{-5}$	$1.9 \times 10^{-5}$

- Dominant systematics: background model
- **Factor 2 improvement** of the BaBar limit; **first limit** for the  $B_s$  mode
- **Enter the range allowing to constrain certain NP models!**



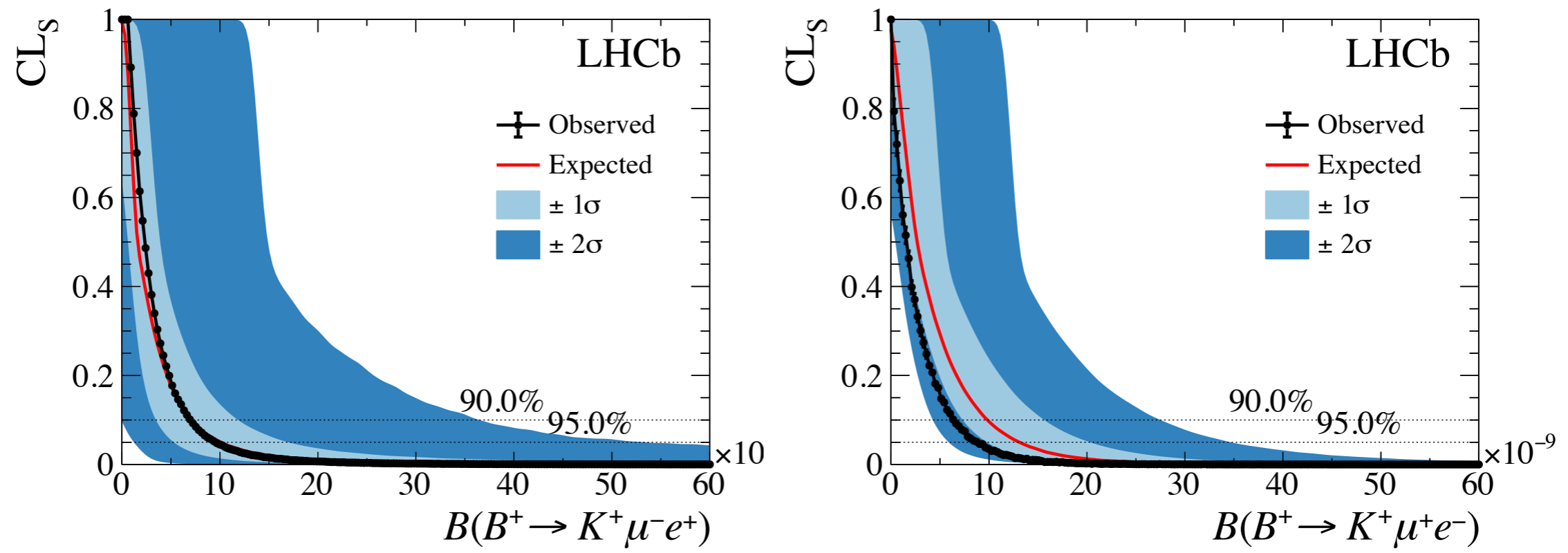
- LFV decay, can be enhanced in NP models up to  $10^{-8}$  level (e.g. [JHEP06(2015) 072])
- Best limits by BaBar:  $BR(B^+ \rightarrow K^+ \mu^- e^+) < 9.1 \times 10^{-8}$   
 $BR(B^+ \rightarrow K^+ \mu^+ e^-) < 13 \times 10^{-8}$  at 90% CL  
 [PRD 73(2006) 092001]
- LHCb analysis: Run1 data ( $3\text{fb}^{-1}$  at 7-8 TeV)
  - Semileptonic backgrounds: removed by  $m(K^+ l^-) > 1885$  MeV
  - MVA against the combinatorial
- As a normalisation,  $B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-)$  was used
- No signal seen in either of charge combinations:



- Limits set:

	90% C. L.	95% C. L.
$\mathcal{B}(B^+ \rightarrow K^+ \mu^- e^+)/10^{-9}$	7.0	9.5
$\mathcal{B}(B^+ \rightarrow K^+ \mu^+ e^-)/10^{-9}$	6.4	8.8

- Dominant systematics: PID calibration for electrons, normalisation, background model



- Efficiency variation across the Dalitz plane is provided for model-dependent interpretation of the results

- Very suppressed: SM prediction  $\sim 5 \times 10^{-12}$  [JHEP01(2004)009]
  - Can be enhanced in some NP models
- Related process  $K_L \rightarrow \mu^+ \mu^-$  : BR= $(6.84 \pm 0.11) \times 10^{-9}$  [PDG] in agreement with SM predictions
- Current best limit:  $BR(K_S \rightarrow \mu^+ \mu^-) < 1.0 \times 10^{-9}$  at 95% CL (LHCb Run1) [Eur. Phys. J.C77(2017)678]
  - Huge kaon production cross-section at LHCb, but **low trigger efficiencies**
- **New analysis: 2016-2018 dataset (5.6 fb<sup>-1</sup> at 13 TeV)**
  - **Dedicated software triggers**, not present in Run1: an order of magnitude increase in efficiency
    - Limited by the hardware trigger
  - Consider only  $K_S$  decaying in VELO: about 22% of all prompt  $K_S$
  - Remove background from inelastic interactions with VELO material

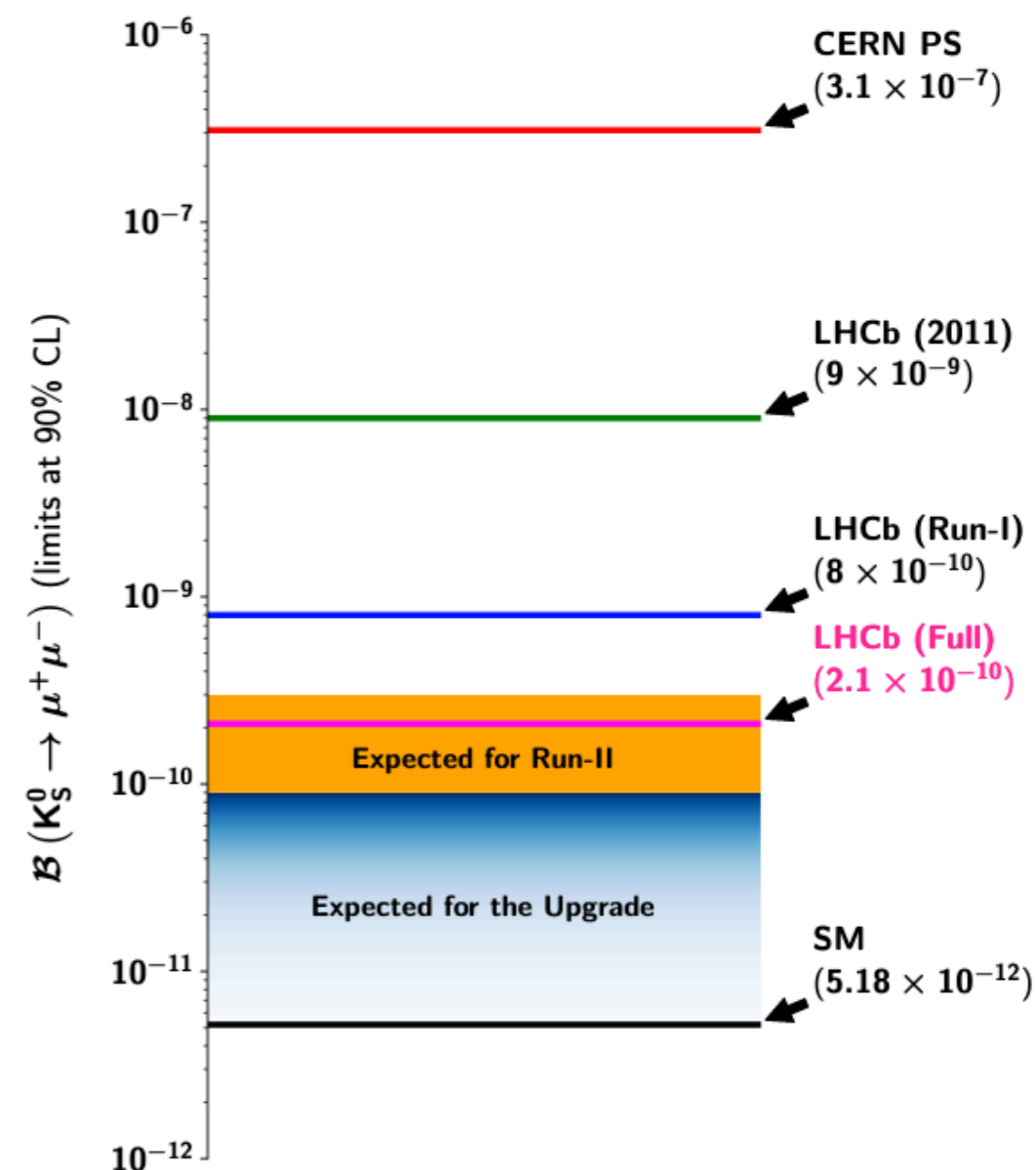
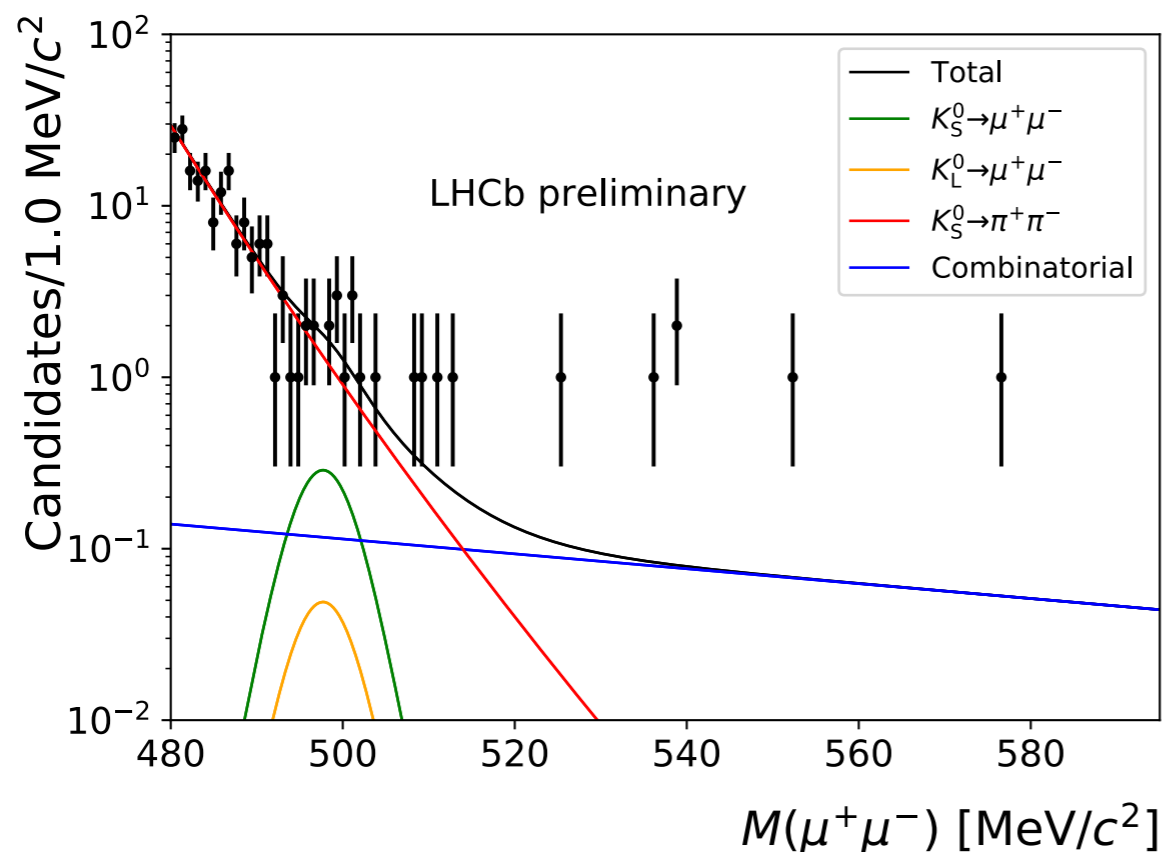
- Dominant backgrounds: → normalisation channel
  - Misidentified  $K_S \rightarrow \pi^+ \pi^-$ : shifted in invariant mass
  - Combinatorial: suppressed by the MVA algorithm
  - $K_L \rightarrow \mu^+ \mu^-$ : suppressed due to the longer lifetime

- No significant signal seen; limit set (combined with Run1):

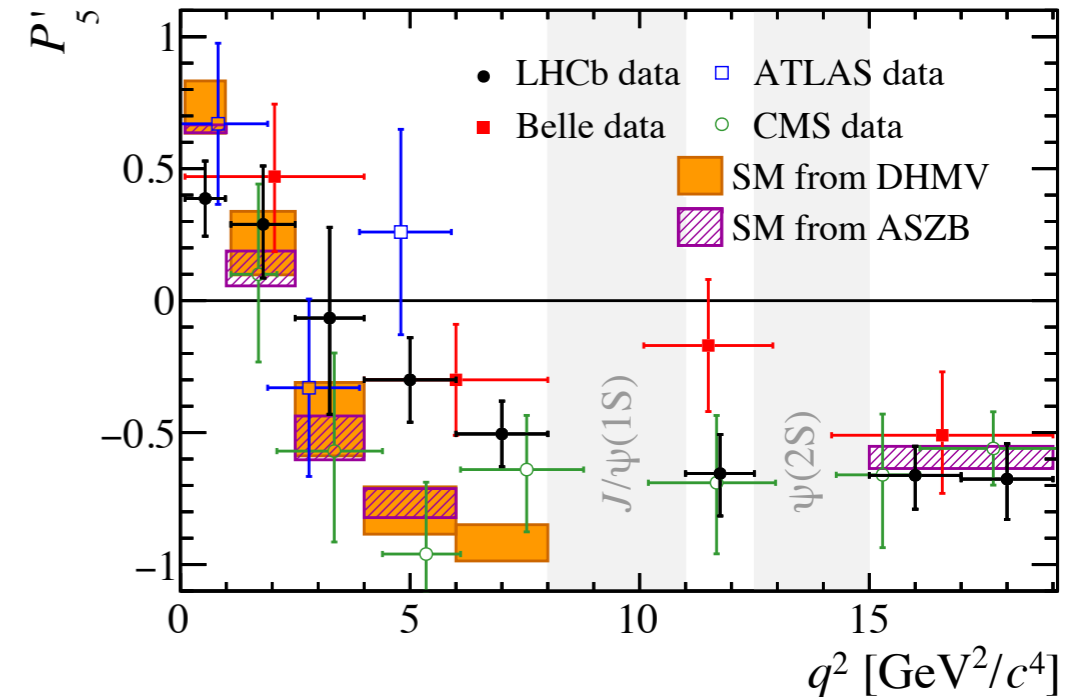
$$BR(K_S \rightarrow \mu^+ \mu^-) < 2.1(2.4) \times 10^{-10}$$

at 90 (95)% CL

Factor 4 lower than the previous limit



- Another puzzle: angular observables in  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$
- Input from many experiments
- Update from LHCb (data up to 2016) expected soon.



[LHCb: JHEP 02 \(2016\) 104](#) [Atlas-Conf-2017-023](#)  
[Belle: PRL 118 2017](#) [CMS: PLB 781 \(2018\) 517541](#)

- Also foreseen in next few months (mostly use a partial dataset):  
 $R_{pK}$ , searches for  $B_{(s)}^0 \rightarrow e^+ e^-$ ,  $B^+ \rightarrow K^+ \mu^- \tau^+$
- Work on legacy measurements with the full LHCb dataset (longer term):

$R_H, B_{(s)}^0 \rightarrow \mu^+ \mu^-$ , angular analyses with muons and electrons, ...

$H = K^+, K^*, K_S, K\pi\pi, \phi, \Lambda$

- Rare charm decays: probe up-type quarks
- A number of LHCb results with a (partial) Run1 dataset, probing BRs down to  $10^{-8}$ 
  - Best limits on  $D^0 \rightarrow \mu^+ \mu^-$  [PLB 725 (2013) 15],  $D_{(s)}^+ \rightarrow \pi^\pm \mu^\mp \mu^+$  [PLB 724 (2013) 203],  $D^0 \rightarrow e^+ \mu^-$  [PLB 754 (2016) 167],  $\Lambda_c^+ \rightarrow p \mu^+ \mu^-$  [PRD 97 (2018) 091101].
  - First observation of  $D^0 \rightarrow K^- \pi^+ V (\rightarrow \mu^+ \mu^-)$  [PLB 757 (2016) 558],  $D^0 \rightarrow K^- K^+ V (\rightarrow \mu^+ \mu^-)$ ,  $\pi^- \pi^+ V (\rightarrow \mu^+ \mu^-)$  [PRL 119 (2017) 181805],  $\Lambda_c^+ \rightarrow p V (\rightarrow \mu^+ \mu^-)$  [PRD 97 (2018) 091101]
- So far, no discrepancies with the SM
- Serious efforts ongoing for  $D_{(s)}^+ \rightarrow h^\pm \ell^\pm \ell^\pm$ ,  $D^0 \rightarrow \mu^+ \mu^-$ ,  $D^0 \rightarrow h^+ h^- \mu^+ \mu^-$  and other channels with a (partial) Run2 dataset



- LHCb is very active in exploring rare decays: we analyse new channels and update old results
  - Several first observations, or first limits
  - Most of the results still **statistically** dominated
- Sensitivity to the LFV channels enters the region interesting to probe some of the NP models
- No final word on the ‘flavor anomalies’ yet, but results coming
- LHCb Upgrade will open new horizons in few years

**LHCb physicist**



**Data yet to be analysed**

**Stay tuned!**

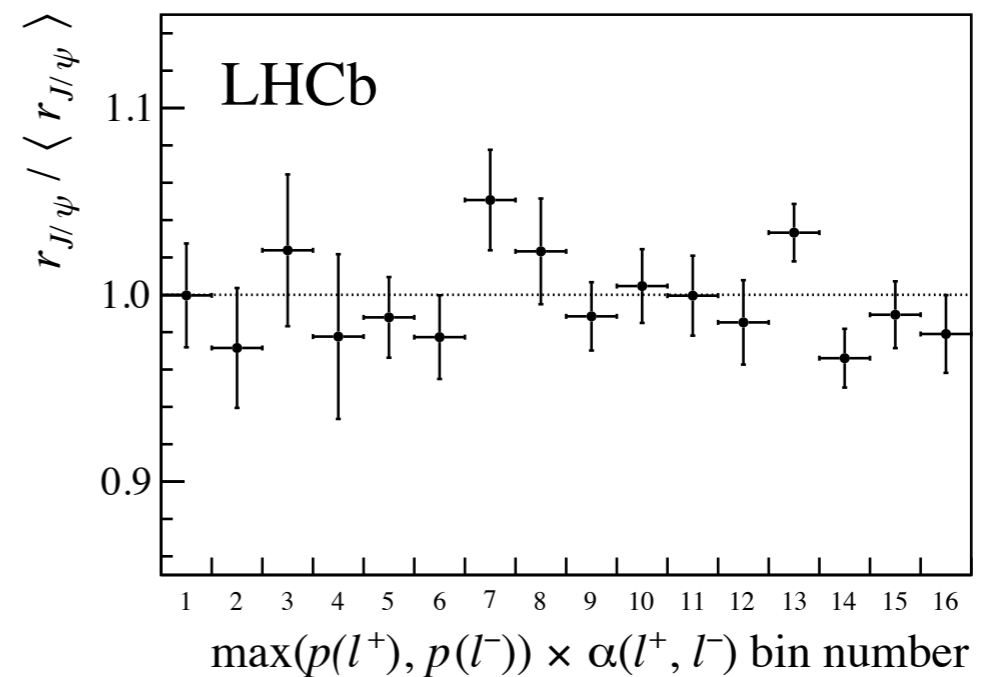
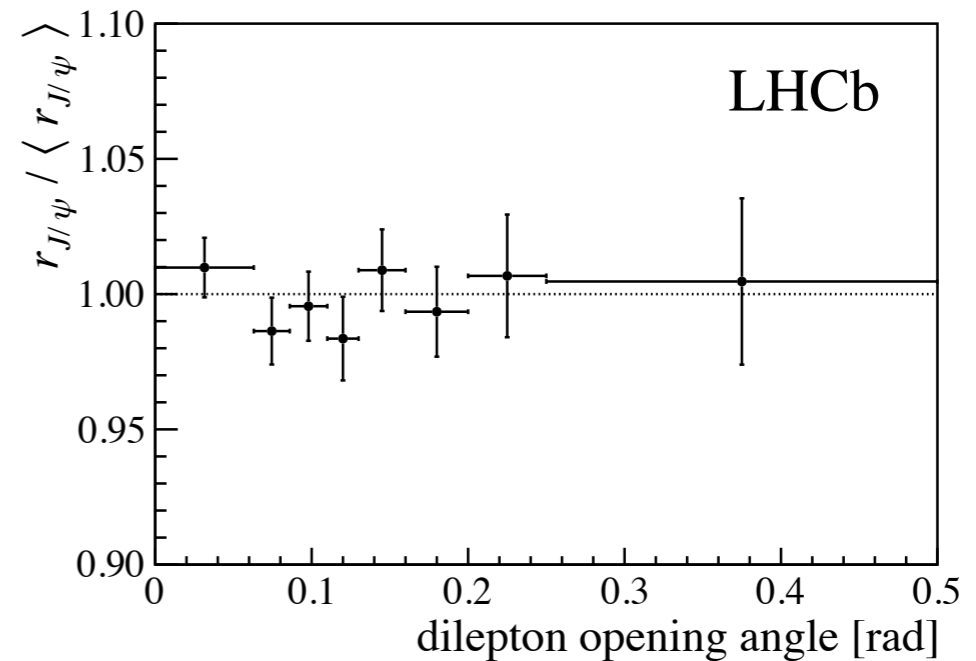
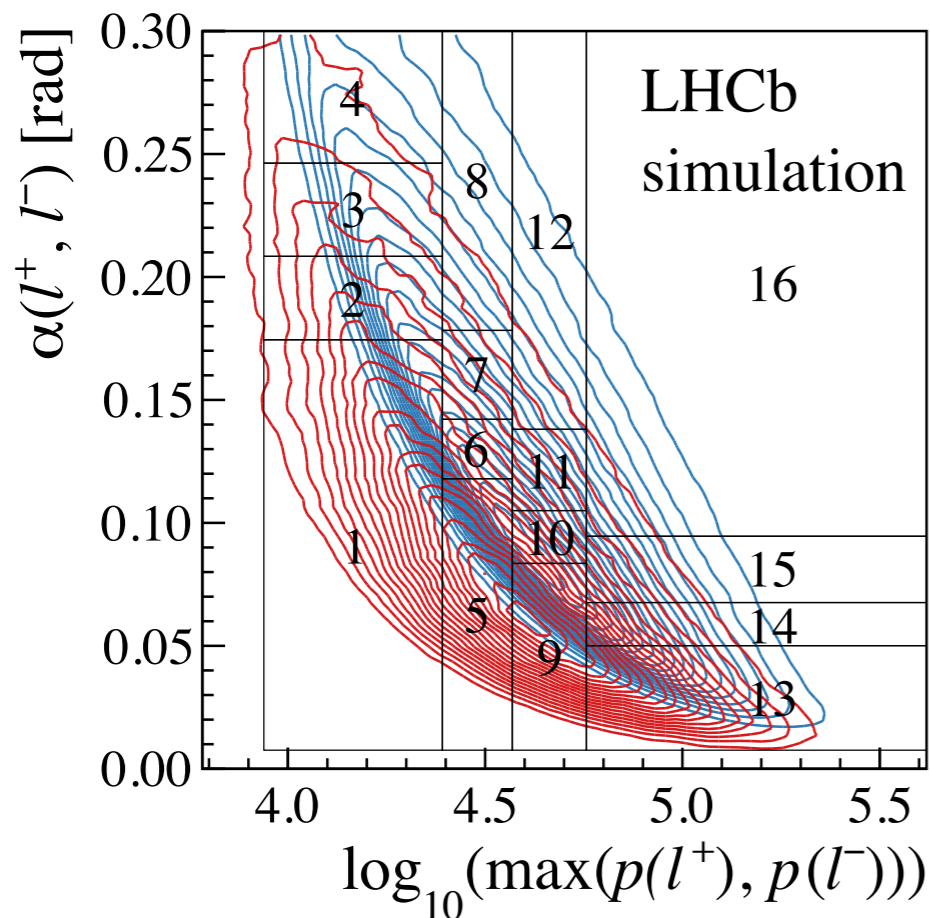
# The End

**Thanks to all LHCb colleagues who helped to improve this talk!**

Extra penguins

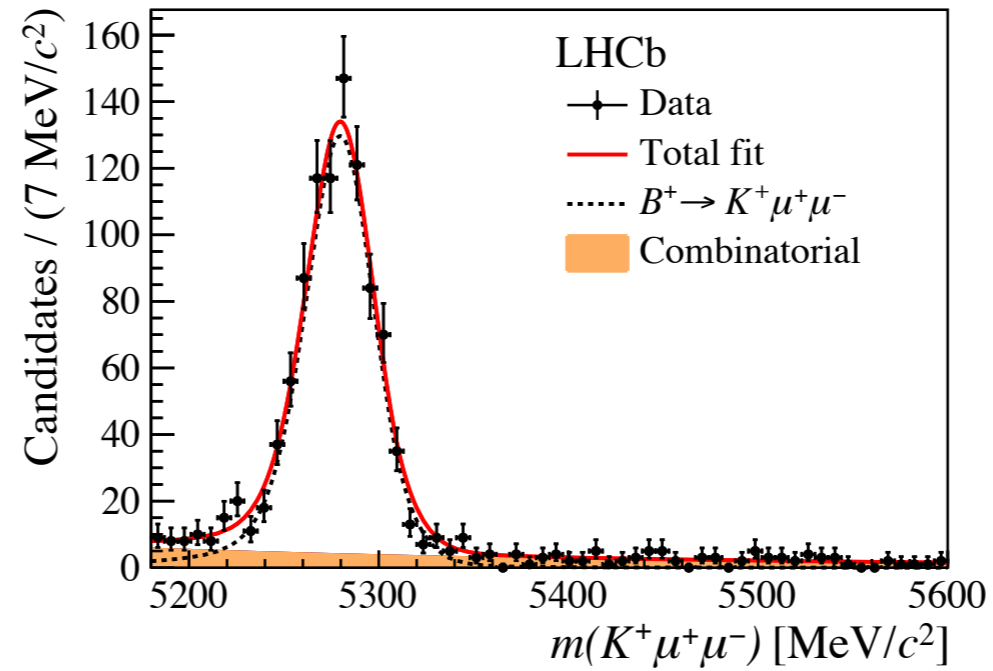
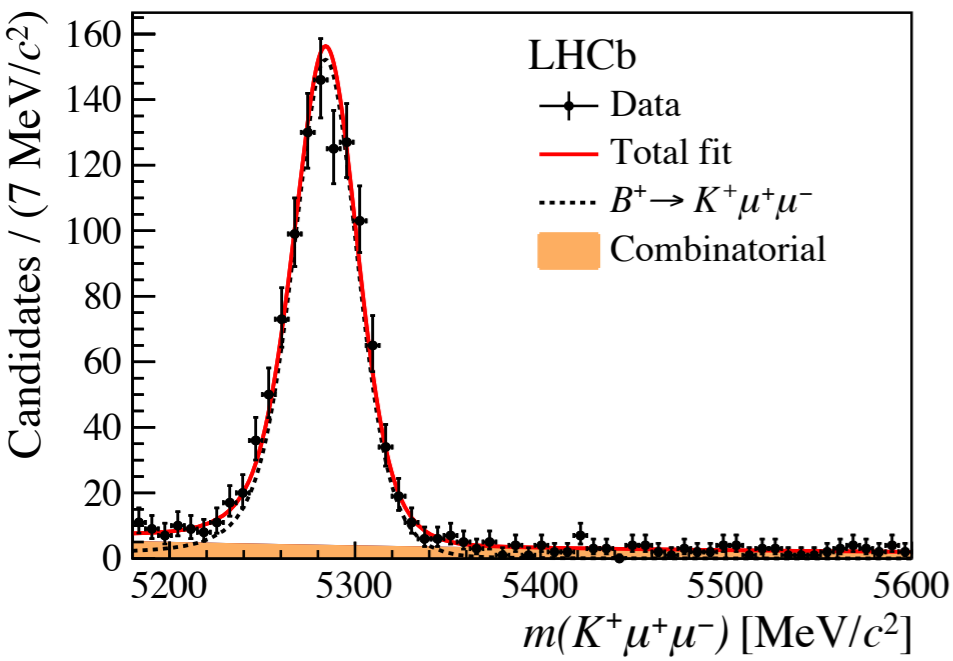
$$r_{J/\psi} = \frac{N(B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-))}{\epsilon(B^+ \rightarrow K^+ J/\psi(\mu^+ \mu^-))} \times \frac{\epsilon(B^+ \rightarrow K^+ J/\psi(e^+ e^-))}{N(B^+ \rightarrow K^+ J/\psi(e^+ e^-))}$$

- Average:  $r_{J/\psi} = 1.014 \pm 0.035$
- Flat in important variables
- Flat in 2D phase-space



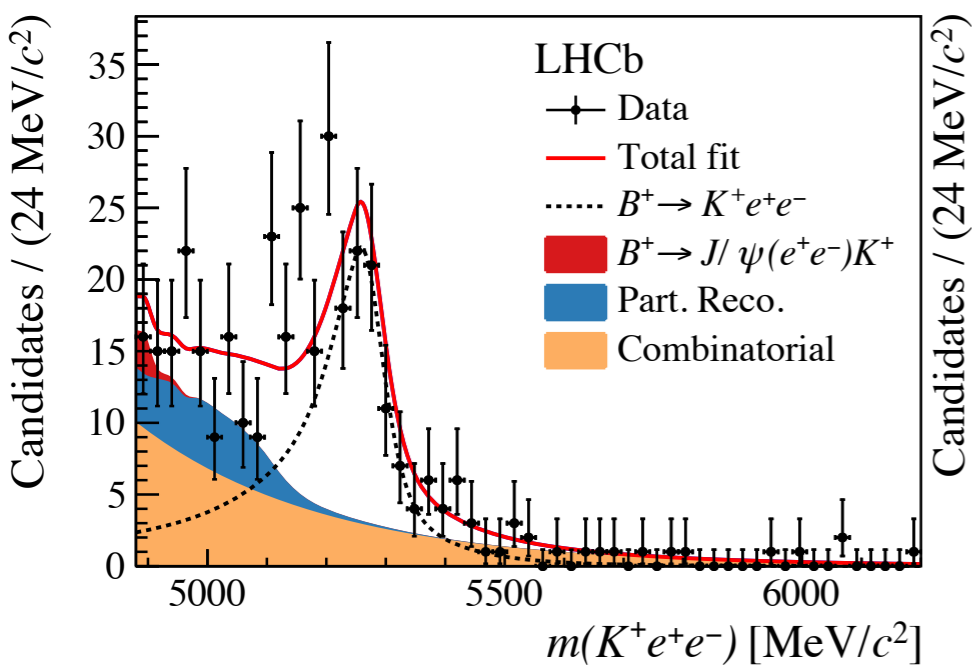
- Also: double ratio  $R_{\psi(2S)} = 0.986 \pm 0.013$  also well consistent with unity

- Mass projections: muons R1 and R2

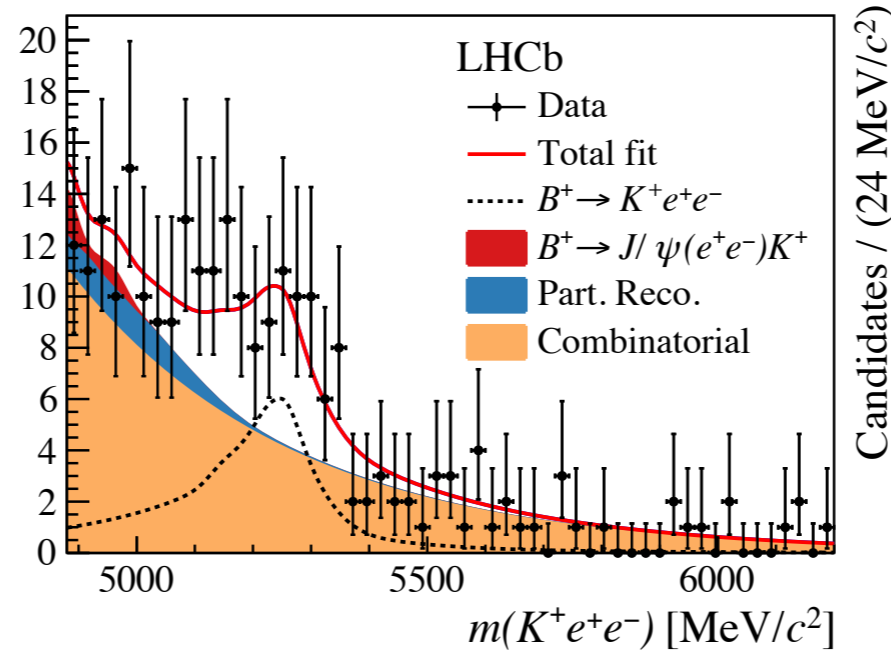


- Mass projections: electrons R1 and R2, three trigger categories:

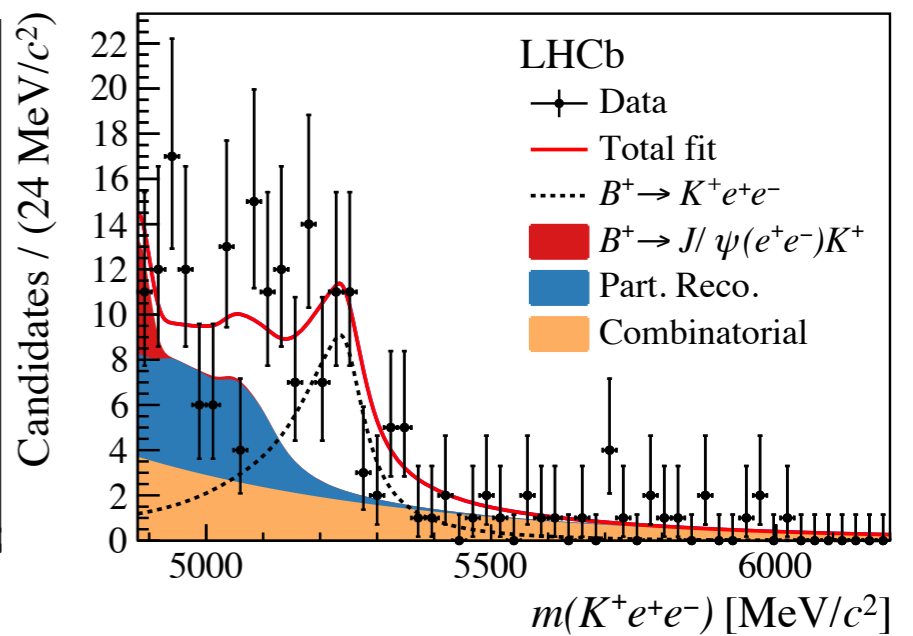
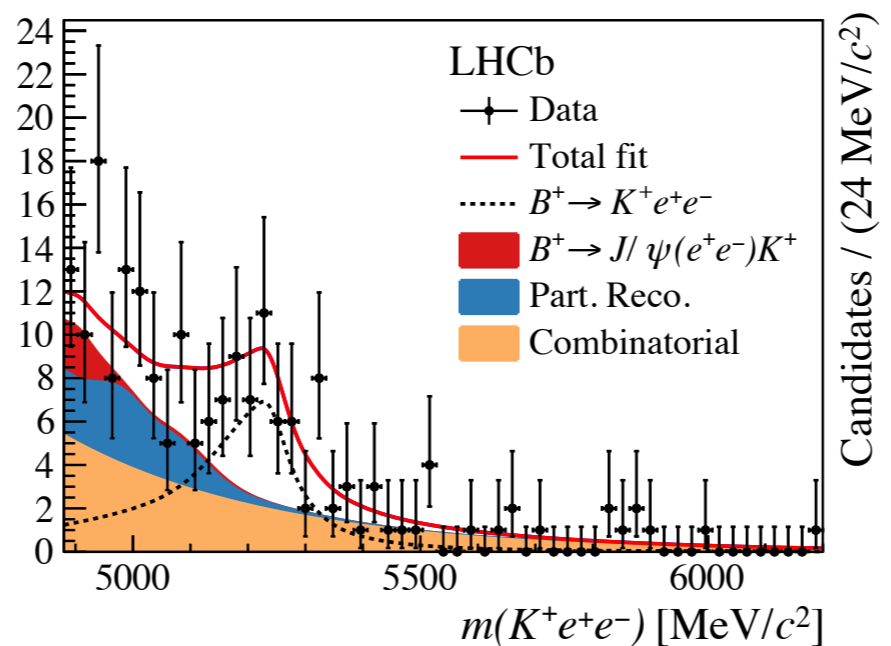
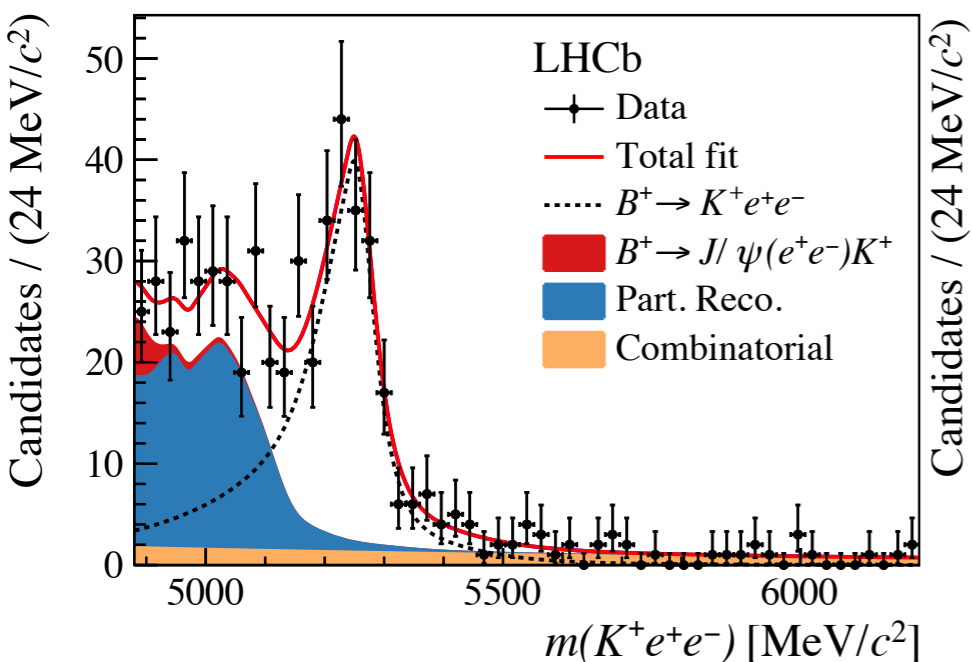
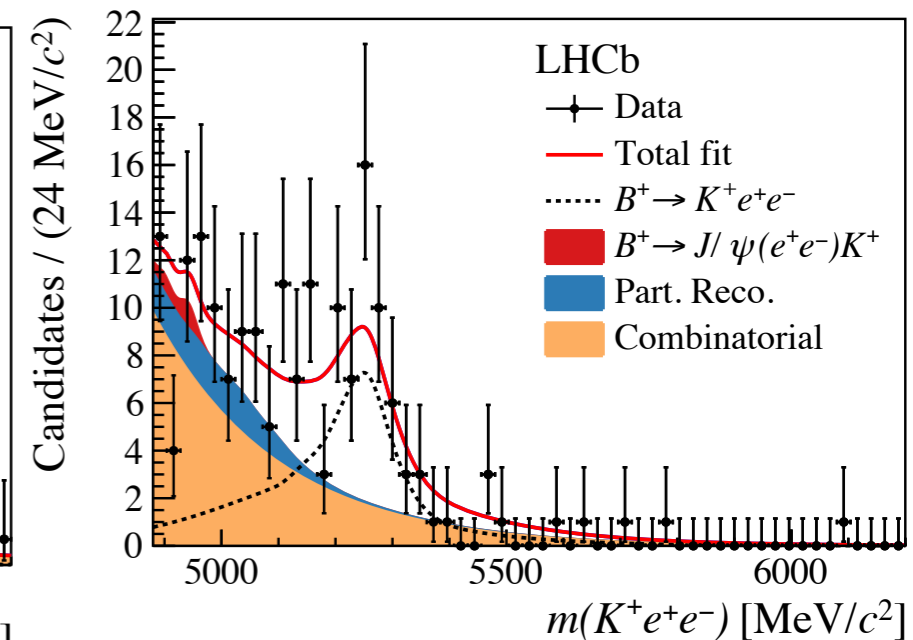
electron trigger



kaon trigger



other tracks



- Run1 and Run2 compatible at  $1.9\sigma$

$$R_K^{7 \text{ and } 8 \text{ TeV}} = 0.717^{+0.083}_{-0.071} + 0.017_{-0.016},$$
$$R_K^{13 \text{ TeV}} = 0.928^{+0.089}_{-0.076} + 0.020_{-0.017},$$

- Run1 result in a good agreement with the previous analysis [[PRL113 \(2014\) 151601](#)]

$$R_K = 0.745^{+0.090}_{-0.074} (\text{stat}) \pm 0.036 (\text{syst}). \quad \text{(old Run1)}$$